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DOCKET NO: C1104-7061.20

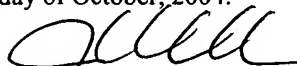
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alfred Ducharme et al..
Serial No: 10/705,643
Confirmation No: 4766
Filed: November 10, 2003
For: METHODS AND APPARATUS FOR GENERATING AND
MODULATING ILLUMINATION CONDITIONS

Examiner: Not yet assigned
Art Unit: 2875

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 20 day of October, 2004.



Jeanne W. Chub

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

- ☒ Information Disclosure Statement, PTO Form 1449 and Cited References
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 50/2762. A duplicate of this sheet is enclosed.

Respectfully submitted,
Alfred D. Ducharme et al., Applicants

By: 

Joseph Teja, Jr., Reg. No. 45,157
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Cambridge, MA 02142
(617) 395-7000

Date: October 20, 2004



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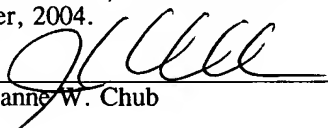
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Jeanne W. Chub

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P.O. Box 1450
Alexandria, VA 22313-1450

**STATEMENT FILED PURSUANT TO THE DUTY OF
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The following are remarks concerning the other information cited:

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.


By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,
Alfred D. Ducharme et al., Applicants

By: 

Joseph Teja, Jr., Reg. No. 45,157
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One Main Street
Cambridge, Massachusetts 02142
Tel. (617) 395-7000

Docket No. C1104-7061.20

Date: October 20, 2004

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FORM PTO-1449/A and B (Modified)		APPLICATION NO.: 10/705,643		ATTY. DOCKET NO.: C1104-7061.20	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		FILING DATE: November 10, 2003		CONFIRMATION NO.: 4766	
		APPLICANT: Alfred Ducharme et al.			
		GROUP ART UNIT: 2875		EXAMINER: Not yet assigned	
Sheet	1	of	1		

U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		2003/0107887	A1	Eberl	06-12-2003
		2003/0198061		Chambers et al.	10-23-2003
		2004/0066652	A1	Hong	04-08-2004
		5,684,309		McIntosh et al.	11-04-1997
		5,961,201		Gismondi	10-05-1999
		6,183,108	B1	Herold	02-06-2001
		6,329,764	B1	van de Ven	12-11-2001
		6,330,111	B1	Myers	12-11-2001
		6,331,915		Myers	12-18-2001
		6,361,186	B1	Slayden	03-26-2002
		6,513,949		Marshall et al.	02-04-2003
		6,550,952		Hulse et al.	04-22-2003
		6,551,282		Exline et al.	04-22-2003
		6,592,238		Cleaver et al.	07-15-2003
		6,692,136		Marshall et al.	02-17-2004
		6,726,350	B1	Herold	04-27-2004

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/ Country	Number	Kind Code			
		WO	99/30537	A1	Proquip Special Projects Limited	06-17-1999	Y
		WO	01/73818	A1	Hong	10-04-2001	Y

OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)
		iLIGHT TECHNOLOGIES, "Explore the iLight Possibilities", http://www.ilight-tech.com , 9/7/04, 1 page.	
		iLIGHT TECHNOLOGIES, "Curved or straight in white or color", http://www.ilight-tech.com/products.htm , 9/7/04, 1 page.	
		iLIGHT TECHNOLOGIES, "Curved or straight in white or color"/products_white.htm, 9/7/04, 1 page.	
		iLIGHT TECHNOLOGIES, "Curved or straight in white or color"/products_color.htm, 9/7/04, 1 page.	
		iLIGHT TECHNOLOGIES, "Curved or straight in white or color"/products_signs.htm, 9/7/04, 1 page.	
		Technical Specification, LEDRA I, Bruck Lighting Systems, 3505 Cadillac Ave. L-5, Costa Mesa, CA 92626, www.brucklighting.com , 1 page.	
		Technical Specification, LEDRA II, Bruck Lighting Systems, 3505 Cadillac Ave. L-5, Costa Mesa, CA 92626, www.brucklighting.com , 1 page	
		Technical Specification, LEDRA R, Bruck Lighting Systems, 3505 Cadillac Ave. L-5, Costa Mesa, CA 92626, www.brucklighting.com , 1 page	
		Technical Specification, LEDRA Display, Bruck Lighting Systems, 3505 Cadillac Ave. L-5, Costa Mesa, CA 92626, www.brucklighting.com , 1 page	

EXAMINER

DATE CONSIDERED

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.